U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER: 4001-1023 FORM PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APP ND. / k On 409 84 4 3 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO.: INTERNATIONAL FILING DATE: PRIORITY DATE CLAIMED: PCT/DE00/02084 27 JUNE 2000 (27.06.00) 18 AUGUST 1999 (18.08.99) TITLE OF INVENTION: METHOD AND DEVICE FOR AUTOMATIC DISTRIBUTION OF PACKAGES APPLICANT(S) FOR DO/EO/US: Thomas BAYER, Torsten TANZ, Harald WESTERHOLD and Peter ZIER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 3. Χ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. is transmitted herewith (required only if not transmitted by the International Bureau). a. has been transmitted by the International Bureau. (see attached copy of PCT/IB/308) b. is not required, as the application was filed in the United States Receiving Office (RO/US). C. Χ A translation of the International Application into English (35 U.S.C. 371(c)(2)). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). are transmitted herewith (required only if not transmitted by the International Bureau). a. have been transmitted by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. d. 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Item 11. to 16. below concern document(s) or information included: 11. Χ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. Х An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. Х A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. A substitute specification. 15. A change of power of attorney and/or address letter. INTERNATIONAL PRELIMINARY EXAMINATION REPORT (PCT/IPEA/409--in French language), INTERNATIONAL SEARCH REPORT (PCT/ISA/210--in German language), APPLICATION DATA SHEET, ABSTRACT 16. Other items or information:

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International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
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		ENTER APPROPRIATE I	BASIC FEE AMOUNT =	\$	890.00	
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otal claims	11 - 20 =	0	X \$18.00	\$		
Independent claims	2-3=	0	X \$84.00	\$		
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SEND ALL CORRESPONDENCE TO:  YOUNG & THOMPSON 745 South 23rd Street 2nd Floor Arlington, VA 22202 (703) 521-2207  February 19, 2002  By Benoît Castel Attorney for Applicant Registration No. 35,041						
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PATENT 4001-1023

#### IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of: Thomas BAYER et al.

Appl. No.:

Group:

Filed:

February 19, 2002

Examiner:

For:

METHOD AND DEIVCE FOR AUTOMATIC

DISTRIBUTION OF PACKAGES

#### PRELIMINARY AMENDMENT

Assistant Commissioner for PatentsFebruary 19, 2002 Washington, DC 20231

Sir:

The following preliminary amendments and remarks are respectfully submitted in connection with the above-identified application.

#### IN THE ABSTRACT OF THE DISCLOSURE:

Please replace the Abstract of the Disclosure with the rewritten Abstract of the Disclosure attached on a separate sheet attached hereto.

#### IN THE CLAIMS:

Please amend the claims as follows:

--3.(Amended) The method as claimed in claim

1, characterized in that the package notification

card (10) is provided with a distribution date and

time imprint after package distribution has taken

place, and is retained.--

#### REMARKS

Claims 1-11 are pending in the present application.

Entry of the above amendments is earnestly solicited. An early and favorable first action on the merits is earnestly requested.

Should there be any matters that need to be resolved in the present application, the Examiner is respectfully requested to contact the undersigned at the telephone number listed below.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE."

The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17.

Respectfully submitted,

Benoît Castel

YOUNG & THOMPSON

Benoit Castel, Reg. No. 35,041

745 South 23<sup>rd</sup> Street Arlington, VA 22202 Telephone (703) 521-2297

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#### VERSION WITH MARKINGS TO SHOW CHANGES MADE

#### IN THE ABSTRACT OF THE DISCLOSURE:

The Abstract of the Disclosure has been amended as follows:

Abstract

Method and device for automatic distribution of packages

The invention relates to A method and device for the distribution of packages to the recipient. Here, a recipient in which the following steps are carried out:

- delivering or sending a package notification card with a machine-readable identification (ID) number to the recipient and applying the same ID number to the package,
- reading this ID number applied to the package and storing saidthe package in an automatic storage facility under this ID number,
- inputting the package notification card by the recipient into an automatic distribution reader for the machine-readable ID number,

-automatically retrieving the package from the storage facility, transporting the package to a closeable distribution station, opening the station on the basis of the ID number read from the package notification card and closing the station after the removal of the package.

#### IN THE CLAIMS:

The claims have been amended as follows:

3.(Amended) The method as claimed in claim  $1 \, \frac{\text{or} - 2}{\text{or}}$ , characterized in that the package notification card (10) is provided with a distribution date and time imprint after package distribution has taken place, and is retained.

Method and device for automatic distribution of packages

A method and device for the distribution of packages to a recipient in which the following steps are carried out:

- delivering or sending a package notification card with a machine-readable identification (ID) number to the recipient and applying the same ID number to the package,
- reading this ID number applied to the package and storing the package in an automatic storage facility under this ID number,
- inputting the package notification card by the recipient into an automatic distribution reader for the machine-readable ID number,
- automatically retrieving the package from the storage facility, transporting the package to a closeable distribution station, opening the station on the basis of the ID number read from the package notification card and closing the station after the removal of the package.

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Description

Method and device for automatic distribution of packages

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The invention relates to a method and a device for automatic distribution of of packages, such as parcels, small packets and the like, to the recipient.

10 According to the prior art, the packages are delivered the recipients by services corresponding deliverers. In this case, it often occurs that the recipient is not present. In this case, he receives a notification card, to the effect that he was not met 15 and therefore has to fetch this package from a central collection and distribution point, for example a post office. The packages are distributed there manually during restricted opening times. This procedure requires a great deal of work and, because of the restricted opening times, is unsatisfactory for the 20 recipient.

The invention is therefore based on the object of providing a method and a device for automatic distribution of packages in which the disadvantages described can be avoided and the packages can be distributed automatically, without any time restriction and additional outlay on notification. In this case, there must also be the possibility of identifying the collector unambiguously.

According to the invention, the object is achieved by the features of claims 1 and 7.

The steps:

35 - delivering or sending a package notification card with a machine-readable identification (ID) number to the recipient and applying the same ID number to the package,

- reading this ID number applied to the package and storing said package in an automatic storage facility under this

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ID number,

- inputting the package notification card by the recipient into an automatic distribution reader for the machine-readable ID number,
- 5 automatically retrieving the package from the storage facility, transporting the package to a closeable transfer station, opening the station on the basis of the ID number read from the package notification card and closing the station after the removal of the package,
  - permits the stored packages to be distributed without the involvement of human beings and therefore also without any restriction on time.

Advantageous refinements of the invention are

15 illustrated in the subclaims.

For example, it is possible to distribute the package only if, as a further condition, personal identification features are recorded and/or read, with

which verification as to the whereabouts of the package 20 is possible.

It is also advantageous, as additional verification, to provide the package notification card with a distribution date and time imprint after distribution of the package has taken place and to retain said card.

- In a further advantageous refinement, before the storage of the package for distribution to the recipient, a machine-readable input code, for example the recipient address in a bar code form, produced during the dispatch of the package, is additionally
- read and the distribution of the package to the recipient and, if required, additionally the storage, together with the input code and distribution or storage date and time are reported to a central station for package tracking and distribution status reporting.
- As a result, it is possible for the sender or the distribution company at any time to interrogate the distribution status, including the time of transfer.

If the distribution of the package is to be carried out only against payment, then it is advantageous if a

machine-readable input code is applied to the package during the dispatch, containing the payment condition and the amount. Before the

storage for distribution, the input code with the payment condition and the amount is then also read, and package is distributed automatically recipient only when the amount has been paid at the distribution station.

It is also advantageous to read the ID number and, if required, the input code with the aid of a handheld scanner. As a result, difficulties in finding this ID number and the input code on the package are avoided.

In the following text, the invention will be explained in more detail in an exemplary embodiment and using the drawing, in which:

FIG la - f show the sequence during the delivery of 15 the package in a schematic representation,

distribution station FIG 2 the schematic representation,

FIG 3 shows a sequence structure for the storage 20 process,

for FIG 4 shows sequence structure the a retrieval process.

As FIG 1a shows, the deliverer 1 is distributing the packages, in this case parcels, in accordance with the recipient addresses. Since the recipient present, the deliverer 1 applies a machine-readable identification (ID) number, for example as bar code, to the relevant parcel 2 for the purpose of the storage 30 and, according to FIG 1b puts a parcel notification card with the same machine-readable ID number into the letterbox 3. Another variant, not shown, consists in the distribution of the parcels 2 by the deliverer 1 not being done, and only the corresponding parcel notification card being sent directly to the recipient. After the deliverer 1 has completed his distribution round, he stores the parcels 2 which cannot distributed directly because of the absence of the recipients in his distribution support point,

| 1000 | 100 | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 |

example a post office (FIG 1c). For this purpose, he places each parcel 2 individually into

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(FIG 1d).

a holding station 4. This holding station 4 includes a stationary bar code scanner 5 for reading the ID number 21 for the purpose of object identification 20 for the storage and of the machine-readable input code produced during the the dispatch. This global input code can characterize the recipient address or an ID number, but this must identify the parcel 2 unambiguously within the entire dispatch system and not just for storage at a distribution point with a restricted number of storage compartments.

A further, not illustrated, possibility for registering the machine-readable information consists in the use of a handheld scanner by the deliverer carrying out the storage process. Possible difficulties during automatic finding of the regions with the machinereadable information on the packages are therefore avoided. Following the successful reading and the start of the storage 22 in the parcel storage facility 23, the parcel data are input into a database 24 belonging to the parcel storage facility 23 or replaced by old database values 25. This database 24 is a constituent part of the control unit for the storage facility. In addition, the global input code, storage location and date and time are transmitted to a global database 26 belonging to a central facility for package tracking and distribution status reporting, as indicated by the which central facility the sender interrogate the dispatch status of his dispatches (FIG 1c). The data flows from and to the databases 24, 26 are illustrated dashed in FIG 3 and 4. Following the reading operation, within the context of the storage action, the parcel 2 is supplied on a transport path 6 to an automatically movable loader and unloader 7 of an automatic storage facility, which places the parcel 2 in a free storage compartment 8 in accordance with the ID number applied to the parcel 2 by the deliverer 1, under the control of a control unit

In order to fetch his parcel 2, the recipient 9 goes to the distribution station of the automatic storage facility. There, he pushes his notification card 10, 30 into an opening provided for the purpose

personal identity card his and passes appropriate identity card reader 12, with which the personal identification number is read (FIG 1e). The storage ID number 21 is then read with a bar code scanner the output reader 11 for as object identification 31. Using this ID number 21, a search 23 is made in the database 24 of the parcel facility and in the global database 26. If the ID number 21 is in the local database 24 of the parcel storage facility 23, then the parcel 2 is retrieved 35. At the same time, the data in the database 24 of the parcel storage facility are deleted and the data in the global database 26 are exchanged or supplemented by the distribution data, such as the date, time of day and collector, indicated by the flash. If the parcel 2 is storage facility, this then recipient/collector 9 is additionally notified 37 where on the 2 is located, basis of parcel interrogation in the global database 26.

The notification card 10 is additionally printed by means of a printer 13, similar to a ticket canceling means, with the distribution date and time and transported into a card store, where there is already a stack 14 of cards. After the loader and unloader 7 has removed the parcel 2 from the storage compartment 8 and the parcel 2 has been transported to the distribution station via a transport path (not illustrated), a door 15 for the removal opening opens and the parcel 2 can be removed. The door 15 is then closed again.

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In order that collect-on-delivery mailings, which have to be paid for by the recipient 9, are automatically distributed only after the payment operation, there is a payment device (not illustrated) at the distribution

35 station.

A parcel which may be distributed only against payment is additionally given this condition and the amount to be paid in its input code. Once the input code has been read, then the control system receives a corresponding communication, and the parcel is released only after the payment of the amount read for distribution. Corresponding payment devices are known from the prior art. In-payments are possible without cash, with a credit card, or with a cash/debit card, in a similar way to that in automatic ticket machines.

#### Patent claims

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A method for automatic distribution of packages (2), such as parcels, small packets and the like, to the recipient (9), characterized by the steps:

**-** 7 -

- delivering or sending a package notification card (10) with a machine-readable identification number (21) to the recipient (9) applying the same ID number (21) to the package  $(2)_{r}$
- applied to the ID number (21) - reading this package (2) and storing the package (2) in an automatic storage facility under this ID number (21),
- inputting of the package notification card (10) 15 automatic an (9) into recipient the machinefor the (11)distribution reader readable ID number (21),
  - automatically retrieving the package (2) the storage facility, transporting the package (2) to a closeable distribution station, opening the station on the basis of the ID number (21) read from the package notification card (10) and closing the station after the removal of the package (2).
    - The method as claimed in claim 1, characterized in 2. an additional distribution condition, that as recorded identification features are personal and/or automatically read and stored.
  - 2. claim in method claimed as 3. The characterized in that the package notification card (10) is provided with a distribution date and time imprint after package distribution has taken place, and is retained.
    - The method as claimed in claim 1, characterized in 4. that before the storage of the package (2) for

distribution to the recipient (9), a machinereadable input code produced during the dispatch of the package (2) and

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applied to the package (2) is read, and the distribution of the package (2) to the recipient (9) and, if required, additionally the storage with the input code and distribution or storage date and time, is transmitted to a central facility for package tracking and distribution status reporting.

- The method as claimed in claim 1, characterized in 5. that in the case of a collect-on-delivery mailing 10 to be paid by the recipient (9), a machinereadable input code is applied to the package (2) dispatch containing the the during condition and the amount to be paid, in that before the package (2) is stored for distribution 15 to the recipient (9), the machine-readable input code is additionally read, and the distribution of the package (2) to the recipient (9) is carried out only after the payment of the amount at the distribution station. 20
  - 6. The method as claimed in claim 1, characterized in that the reading of the ID number (21) applied to the package (2) and, optionally, of the input code is carried out before the storage, using a handheld scanner.
- 7. A device for automatic distribution of packages (2), such as parcels, small packets or the like, to the recipient (9), characterized by:
  - an input reader (5) for reading a machinereadable ID number (21) applied to the package (2) and, coupled thereto, an automatic storage facility for storing the respective package (2) under this ID number (21),
  - a distribution reader (11) for reading the same ID number (21) on a package notification card (10) and, coupled thereto, the automatic storage

facility for retrieving the package (2) with this ID number (21) and

- transport means for transporting the retrieved packages (2) to a closeable distribution station which

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is opened in the presence of a package (2) and is closed after the removal of the package (2).

- 8. The device as claimed in claim 6, characterized in that means (12) for reading and/or recording personal identification features are provided, and distribution is carried out only after a reading and/or recording process has been carried out.
- The device as claimed in claim 6, characterized in 10 9. provided printer (13) is а the package and time on distribution date notification card (10), and also a store for the parcel notification cards (10).
- 10. The device as claimed in claim 6, characterized in that the input reader (5) or an additional reader is constructed in such a way that it reads machine-readable input codes located on the packages (10) and, when the respective package (2) is distributed, this input code, together with distribution date and time, can be transmitted to a central facility for package tracking and distribution status reporting.
- 11. The device as claimed in claim 6, characterized in that a payment device is provided at the distribution station, and the package (2) can be distributed only when the amount has been paid in in cash or in a cash-free manner, said amount, together with a payment condition, having been read in the input code by the input reader (5) or an additional reader.

Abstract

Method and device for automatic distribution of packages

The invention relates to the distribution of packages to the recipient. Here, the following steps are carried out:

- delivering or sending a package notification card with a machine-readable identification (ID) number to the recipient and applying the same ID number to the package,
- reading this ID number applied to the package and storing said package in an automatic storage facility under this ID number,
- inputting the package notification card by the recipient into an automatic distribution reader for the machine-readable ID number,
- automatically retrieving the package from the storage facility, transporting the package to a closeable distribution station, opening the station on the basis of the ID number read from the package notification card and closing the station after the removal of the package.

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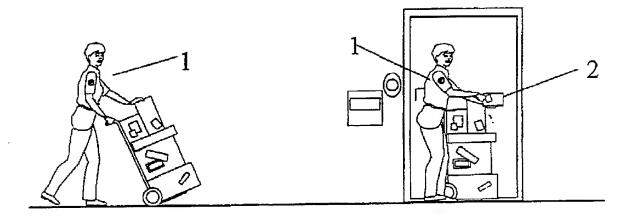


FIG 1a

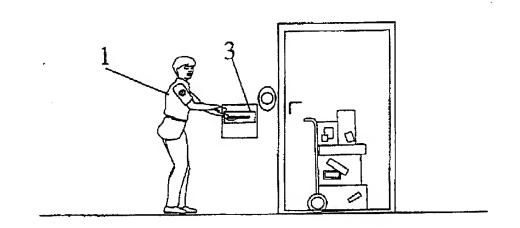
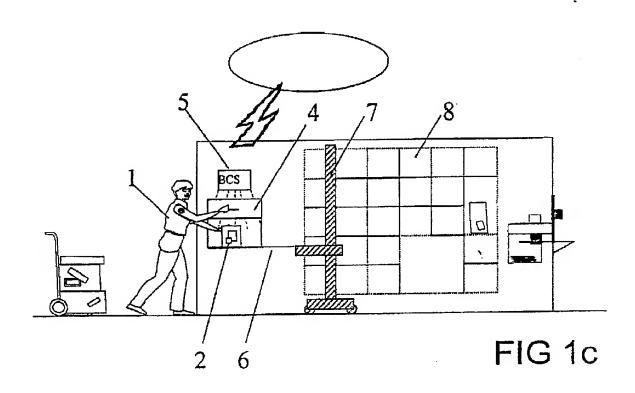


FIG 1b

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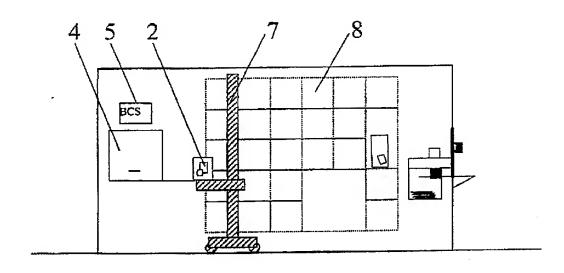


FIG 1d

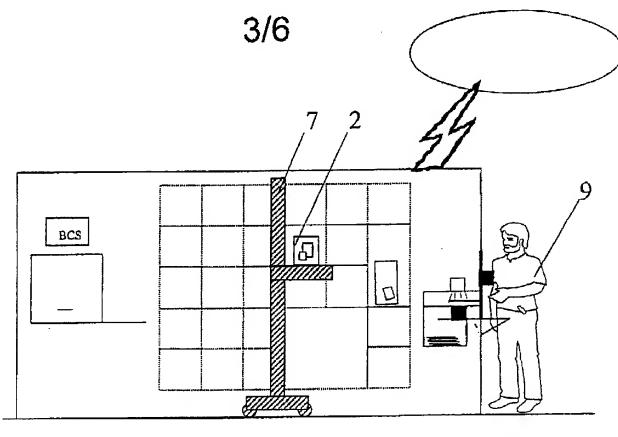
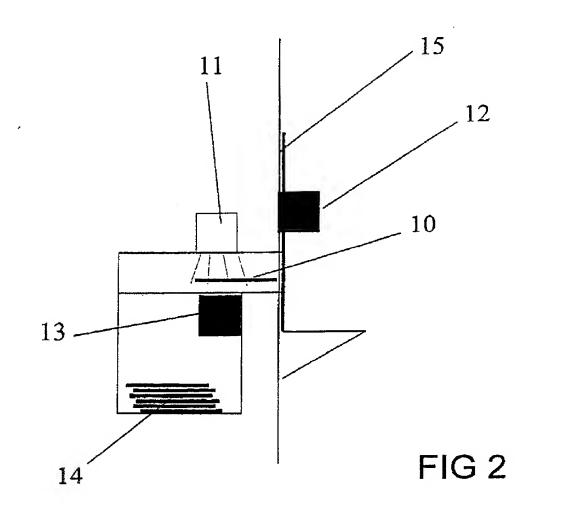


FIG 1e





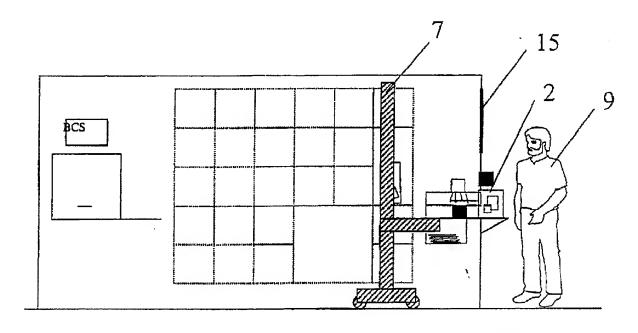
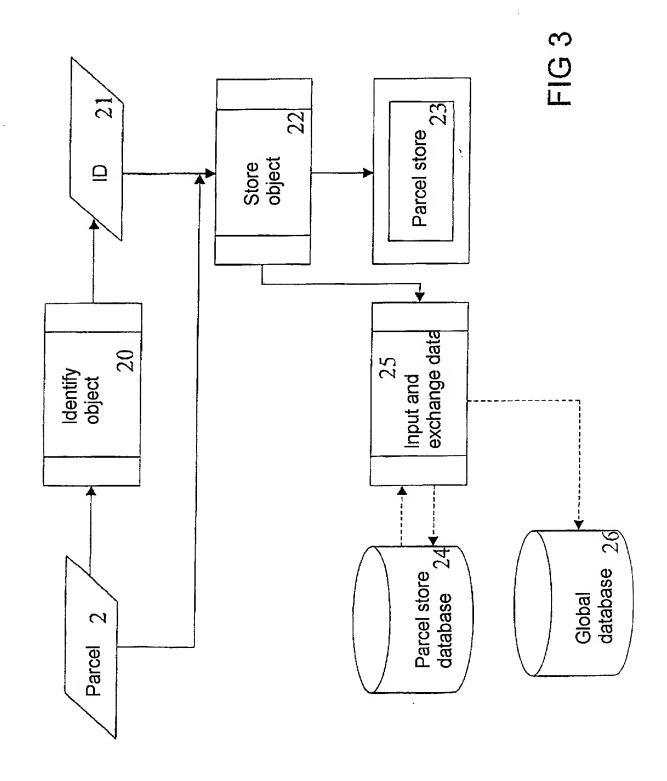
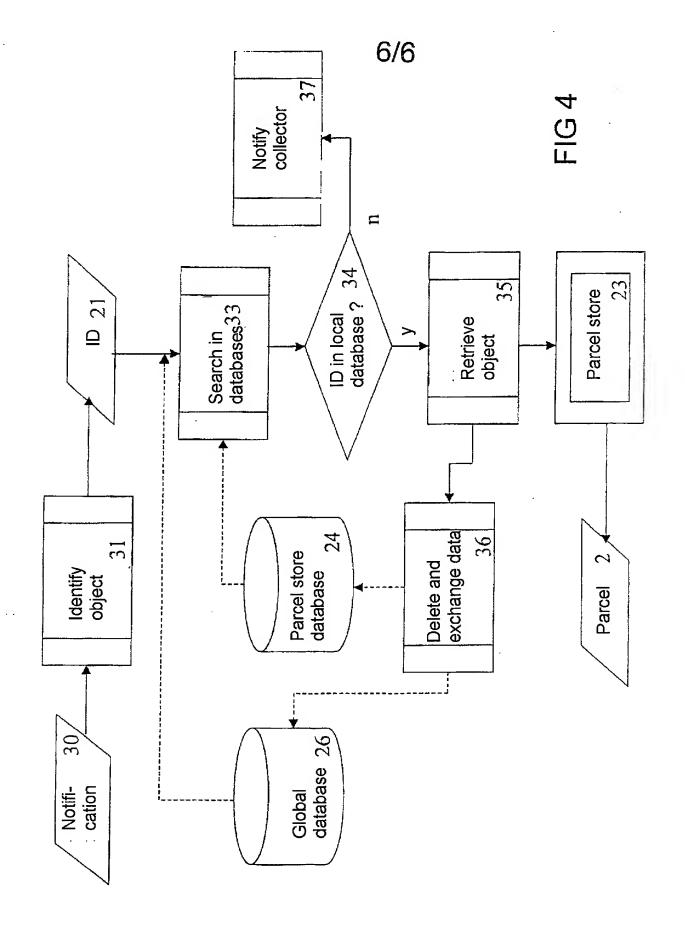


FIG 1f





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## Declaration and Power of Attorney For Patent Application Erklärung Für Patentanmeldungen Mit Vollmacht

**German Language Declaration** 

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

As a below named inventor, I hereby declare that:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, My residence, post office address and citizenship are as stated below next to my name,

dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### Verfahren und Einrichtung zum automatischen Ausgeben von Stueckgut

Verfahren und Einrichtung zum automatischen Ausgeben von Stueckgut

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is attached hereto.

the specification of which

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dert wurde.

was filed on <u>27.06.2000</u> as

PCT internationale Anmeldung

PCT international application

PCT Anmeldungsnummer \_ eingereicht wurde und am PCT Application No. PCT/DE00/02084

eingereicht wurde und am \_\_\_\_\_abgeändert wurde (falls tatsächlich abgeändert).

and was amended on \_\_\_\_\_ (if applicable)

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell

durch einen Zusatzantrag wie oben erwähnt abgeän-

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Page 1

Form PTO-FB-240 (8-83)

Patent and Trademark Office-U.S. DEPARTMENT OF COMMERCE

		German Langua	ige Declaration		
Prior foreign appp Priorität beanspru				<u>Priorit</u>	y Claimed
199 39 211.0 (Number) (Nummer)	<u>DE</u> (Country) (Land)	18.08.1999 (Day Month Yea (Tag Monat Jah		⊠ Yes Ja	□ No Nein
(Number) (Nummer)	- (Country) (Land)	(Day Month Yea (Tag Monat Jah		☐ Yes Ja	□ No Nein
(Number) (Nummer)	- (Country) (Land)	(Day Month Yea (Tag Monat Jah		☐ Yes Ja	No Nein
Ich beanspruche hiermit gemäss Absatz 35 der Zivil- prozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmel- dungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozeßordnung der Vereinigten Staaten, Paragraph 122 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.		Code. §120 of any below and, insofar as claims of this appliculated States application the first paragraph §122, I acknowledge information as defin Regulations, §1.56(a date of the prior applications)	I hereby claim the benefit under Title 35. United States Code. §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §122, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occured between the filing date of the prior application and the national or PCT international filing date of this application.		
(Application Serial No.) (Anmeldeseriennumme	(Fil (Ar	ing Date D, M, Y) nmeldedatum T, M, J)	anhängig (Status) (patentiert, anhängig, aufgegeben)	(	pending Status) patented, pending, abandoned)
(Application Serial No.) (Anmeldeseriennumme		ing Date D,M,Y) nmeldedatum T, M; J)	(Status) (patentiert, anhängig, aufgeben)	(	(Status) (patented, pending, abandoned)
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